

Summer Term 2020

Specialisation Module Political Science 1 (CGS): Research Project Federalism, Decentralisation and Multi-Level Governance

Prof. Dr. André Kaiser

Due to the current situation, the course is organized in three steps:

(1) Participants are expected to read the assignments (see below) on a weekly basis. Please hand in two questions on these readings per week. I will collect them and select some for our seminar discussions. If at least four of your questions get selected you can earn a bonus of "0,7" (with two or three questions "0,3") on your final grade.

(2) The following seminar sessions are scheduled in case classroom teaching will be possible again:

- Friday, July 3, 12:00 pm - 18:00 pm
- Saturday, July 11, 09:00 am - 17:00 pm
- Saturday, July 18, 09:00 am - 17:00 pm

Location: Gottfried-Keller-Straße 6, Hörsaal des Forschungsinstituts

Registration: Please register with KLIPS.

(3) While reading the assigned texts, please start as early as possible to think about a research question that you want to work on in your term paper. If you have developed an idea, please send me a one or two pages exposé via email (andre.kaiser@uni-koeln.de). Term papers have to be handed in on 6 September at the latest (no exceptions!).

This course aims at introducing participants into current research on the territorial organisation of the state. Ideally, participants develop their own research questions and designs on questions of federalism, decentralisation or multi-level governance while attending the course in order to eventually write a MA or PhD thesis.

Virtual Office Hour: appointments can be arranged via email (andre.kaiser@uni-koeln.de).

Reading assignments:

A reader with the required texts will be made available to participants via Ilias.

General readings:

León, Sandra and Pablo Beramendi (2015). Federalism, in: Jennifer Gandhi and Rubén Ruiz-Rufino (eds), *Routledge Handbook of Comparative Political Institutions*. London: Routledge, 209-225.

Rodden, Jonathan (2006). Federalism, in: Barry Weingast und Donald Wittman (Hg.), *Oxford Handbook of Political Economy*, Oxford: Oxford University Press, 357-370.

Schedule:

(1) General Introduction: Why is there no unified theory of federalism?

Bednar, Jenna (2005). Federalism as a Public Good. *Constitutional Political Economy* 16, 189-205.

[for those with reading knowledge in German: Kaiser, André (2012). Politiktheoretische Zugänge zum Föderalismus, in: Ines Härtel (ed.), *Handbuch Föderalismus – Föderalismus als demokratische Rechtsordnung und Rechtskultur in Deutschland, Europa und der Welt. Band 1: Grundlagen des Föderalismus und der deutsche Bundesstaat*, Heidelberg: Springer-Verlag, 165-178.]

(2) Symmetric and Asymmetric Federalism

Zuber, Christina Isabel (2011). Understanding the Multinational Game: Toward a Theory of Asymmetrical Federalism. *Comparative Political Studies*, 44(5), 546-571.

(3) Joint Federalism vs Dual Federalism

Bolleyer, Nicole, and Lori Thorlakson (2012). Beyond Decentralization. The Comparative Study of Interdependence in Federal Systems. *Publius: The Journal of Federalism* 42(4), 566-591.

(4) Federalism in Multiethnic and Multinational Societies

Stepan, Alfred (1999). Federalism and Democracy: Beyond the US Model. *Journal of Democracy* 10, 19-34.

(5) Trends in Established Federal Systems

Dardanelli, Paolo, John Kincaid, Alan Fenna, André Kaiser, André Lecours and Ajay Kumar Singh (2019). Conceptualizing, Measuring, and Theorizing Dynamic De/Centralization in Federations. *Publius: The Journal of Federalism* 49(1), 1-29.

Kaiser, André and Stephan Vogel (2019). Dynamic De/Centralization in Germany, 1949-2010. *Publius: The Journal of Federalism* 49(1), 84-111.

Dardanelli, Paolo, John Kincaid, Alan Fenna, André Kaiser, André Lecours, Ajay Kumar Singh, Sean Mueller and Stephan Vogel (2019). Dynamic De/Centralization in Federations: Comparative Conclusions. *Publius: The Journal of Federalism* 49(1), 194-219.

(6) Federalism as a Dynamic System I: Belgium

Deschouwer, Kris and Min Reuchamps (2013). The Belgian Federation at a Crossroad. *Regional and Federal Studies* 23(3), 261-270.

(7) Federalism as a Dynamic System II: Spain

Sala, Gemma (2014). Federalism without Adjectives in Spain. *Publius: The Journal of Federalism* 44(1), 109-134.

Verge, Tània (2013). Party Strategies on Territorial Reform: State-wide Parties and the State of Autonomies in Spain. *West European Politics* 36(2), 317-337.

(8) Dynamics of Quasi-Federalism: United Kingdom

Jeffery, Charlie (2009). Devolution in the United Kingdom: Problems of a Piecemeal Approach to Constitutional Change. *Publius: The Journal of Federalism* 39(2), 289–313.

(9) Federalism, Decentralization and Performance

Biela, Jan, Annika Hennl and André Kaiser (2012). Combining Federalism and Decentralization. Comparative Case Studies on Regional Development Policies in Switzerland, Austria, Denmark and Ireland. *Comparative Political Studies* 45(4), 447-476.

Biela, Jan, Annika Hennl and André Kaiser (2013). Policy Making in Multilevel Systems. Federalism, Decentralisation, and Performance in the OECD Countries, Colchester: ECPR Press 1-32.

(10) The Regional Authority Index

Hooghe, Liesbet, Gary Marks, Arjan H. Schakel, Sara Niedzwiecki, Sandi Chapman Osterkatz, and Sarah Shair-Rosenfield (2016). Measuring Regional Authority: A Postfunctionalist Theory of Governance. Volume I, Oxford: Oxford University Press, 1-25.

Eaton, Kent, Jean-Paul Faguet, Imke Harbers, Arjan H. Schakel, Liesbet Hooghe, Gary Marks, Sara Niedzwiecki, Sandra Chapman Osterkatz, and Sarah Shair-Rosenfield (2018). Measuring and Theorizing Regional Governance. *Territory, Politics, Governance*, DOI:10.1080/21622671.2018.1445021.

(11) Why Decentralization?

Röth, Leonce, and André Kaiser (2019). Why Accommodate Minorities Asymmetrically? A Theory of Ideological Authority Insulation, *European Journal of Political Research* 58:2, 557-581.

Röth, Leonce, André Kaiser, Çağan Varol, and Uğur Sadioğlu (2016). Centre-Periphery Conflict and Ideological Distance in Turkey. *Swiss Political Science Review* 22(4), 585-607.

(12) Multi-Level Governance

Hooghe, Liesbet, und Gary Marks (2003). Unraveling the Central State, but How? Types of Multi-level Governance. *American Political Science Review* 97(2), 233-243.

(13) Secession

Kymlicka, Will (1998). Is Federalism a Viable Alternative to Secession?, in: Percy B. Lehning (ed.), *Theories of Secession*, London: Routledge, 109-148.

Massetti, Emanuele, and Arjan H. Schakel (2013). Between Autonomy and Secession. *Party Politics* 22(1), 59-79.

(14) Wrap Up