

## **Summer Term 2019**

**Dr. Jan Sauermann**

### **(14335.0109) Stability, quality, and performance of democracy**

PO 2015: Basismodul Seminar Politikwissenschaft I (9 Leistungspunkte)

*Time:* Mondays 10:00 – 11:30

*Room:* Gottfried-Keller-Str. 6, Hörsaal des Forschungsinstituts

*First session:* 1 April 2019

### **Course description**

The course provides a broad overview of the literature on democracy in comparative politics. We will concentrate on two major topics; 1) factors influencing the quality and stability of democracy and 2) the effects of democracy, i.e. its performance. We will discuss the following questions:

#### Quality and stability:

- What is democracy? How can we define democracy?
- How can we measure the quality of democracy?
- Which factors promote transitions to democracy?
- Why do democracies fail?
- Which factors stabilize democracy?

#### Performance:

- What are the effects of democracy in comparison to authoritarian systems of government?

### **Prerequisites**

Students should have a good knowledge of political science concepts, basic qualitative and quantitative methods, and an interest in current research. Since nearly all reading assignments are in English, this will be the general classroom language as well. Participants therefore must know enough English to be able to participate actively. Perfection is not required – you just need to understand and make yourself understood.

### **Relevance for study programmes**

The course is open to master students of the WiSo-Faculty with an interest in political science.

### **Registration**

The course will be taught on a weekly basis (first session April 1). Students should register via KLIPS.

### **Reading assignments**

All required texts for the sessions of the seminar can be found on [LIAS](#).

Most texts can only be accessed from within the UKLAN network of the University of Cologne. If you do not know how to obtain access to UKLAN, please consult the RRZK's websites: <http://rrzk.uni-koeln.de/netzzugang.html?&L=1>

## **Working requirements and assessment method**

All participants are expected to:

- attend the seminar on a regular basis
- complete assigned readings according to schedule
- actively contribute to class discussions
- give a 10 to 15 minutes presentation in the seminar
- write a research paper of 15-18 pages in length
  - PO 2015: about 5.000-6.000 words

## **Submission of the research paper**

Please hand in two versions of your research paper:

- **Electronic version**  
(pdf- or Word file) via email to [jan.sauermann@uni-koeln.de](mailto:jan.sauermann@uni-koeln.de)  
**Deadline: 16 September 2019, 10:00am**
- **Paper version**  
You can hand in the paper version personally at the secretary of the Cologne Center for Comparative Politics (*IBW Gebäude, Herbert-Lewin-Str. 2, 1st floor, Room 1.09*) or send it by mail to:  
Jan Sauermann, Universität zu Köln, Cologne Center for Comparative Politics,  
Postfach 411020, 50870 Köln

**The paper version must contain the following signed statement:**

<http://www.cccp.uni-koeln.de/sites/cccp/Lehre/EidesstattlicheErklaerung.pdf>

## **Schedule and readings**

### **I Quality and stability**

#### **1) 1 April 2019 – Introduction: What is democracy?**

##### Required readings:

- Dahl, Robert A. 1971. *Polyarchy. Participation and Opposition*. New Haven and London: Yale University Press. 1-32.
- Schumpeter, Joseph. 2003. "Capitalism, Socialism, and Democracy." In *The Democracy Sourcebook*, eds. Robert A. Dahl, Ian Shapiro and José Antonio Cheibub. Cambridge: MIT Press. 5-11.

##### Optional readings:

- Schmitter, Philippe C. und Terry Lynn Karl. 1991. "What Democracy Is ... And Is Not." *Journal of Democracy* 2 (3): 75-88.

#### **2) 8 April 2019 - Conceptualizing and measuring democracy**

##### Required readings:

- Collier, David und Steven Levitsky. 1997. "Democracy with Adjectives: Conceptual Innovation in Comparative Research." *World Politics* 49 (3): 430-51.
- Munck, Gerardo L. und Jay Verkuilen. 2002. "Conceptualizing and Measuring Democracy: Evaluating Alternative Indices." *Comparative Political Studies* 35 (5): 5-34.

Presentation:

- Högström, John. 2013. "Does the Choice of Democracy Measure Matter? Comparisons between the Two Leading Democracy Indices, Freedom House and Polity IV." *Government and Opposition* 48 (2): 201-21.

Optional readings:

- Coppedge, Michael, John Gerring, David Altman, Michael Bernhard, Steven Fish, Allen Hicken, Matthew Kroenig, Staffan I. Lindberg, Kelly McMann, Pamela Paxton, Holli A. Semetko, Svend-Erik Skaaning, Jeffrey Staton and Jan Teorell. 2011. "Conceptualizing and Measuring Democracy: A New Approach." *Perspectives on Politics* 9 (2): 247-67.

**3) 15 April 2019 - The boundary problem**

Required readings:

- Dahl, Robert A. 1989. *Democracy and Its Critics*. New Haven and London: Yale University Press: 193-209.
- Hainmueller, Jens, and Dominik Hangartner. 2013. "Who Gets a Swiss Passport? A Natural Experiment in Immigrant Discrimination." *American Political Science Review* 107 (1): 159-87.

Optional readings:

- Linz, Juan J. und Alfred Stepan. 1996. *Problems of Democratic Transition and Consolidation: Southern Europe, South America, and Post-Communist Europe*. Baltimore and London: The Johns Hopkins University Press: 16-37.

**4) 29 May 2019 - Waves of democratization**

Required readings:

- Huntington, Samuel P. 1991. *The Third Wave. Democratization in the Late Twentieth Century*. Norman: University of Oklahoma Press. 1-40.
- Doorenspleet, Renske. 2000. "Reassessing the Three Waves of Democratization." *World Politics* 52 (3): 384-406.

Presentation:

- Carothers, Thomas. 2002. "The End of the Transition Paradigm." *Journal of Democracy* 13 (1): 5-21.

Optional readings:

- Bunce, Valerie. 2000. "Comparative Democratization. Big and Bounded Generalizations." *Comparative Political Studies* 33 (6/7): 703-34.
- Kapstein, Ethan B., and Nathan Converse. 2008. *Why Democracies Fail*. *Journal of Democracy* 19 (4): 57-68.

**5) 6 May 2019 - Causes of democratization**

Required readings:

- Olson, Mancur. 1993. Dictatorship, Democracy, and Development. *American Political Science Review* 87 (3): 567-76.
- Acemoglu, Daron and James Robinson. 2006. *Economic Origins of Dictatorship and Democracy*. Cambridge: Cambridge University Press, chapter 1 and 2.

Optional readings:

- Rustow, Dankwart A. 1970. "Transitions to Democracy: Toward a Dynamic Model." *Comparative Politics* 2 (3): 337-63.

**6) 13 May 2019 - The impact of economic development on democratization**

Required readings:

- Przeworski, Adam, and Fernando Limongi. 1997. Modernization: Theories and Facts. *World Politics* 49 (2): 155-183.
- Boix, Carles, and Susan C. Stokes. 2003. Endogenous Democratization. *World Politics* 55 (4): 517-549.

Presentation:

- Lipset, Seymour Martin. 1959. Some Social Requisites of Democracy: Economic Development and Political Legitimacy. *American Political Science Review* 53 (1): 69-105.

Optional readings:

- Treisman, Daniel. 2015. "Income, Democracy, and Leader Turnover." *American Journal of Political Science*. 59 (4): 927-42.

**7) 20 May 2019 - The resource curse**

Required readings:

- Ross, Michael L. 2001. "Does Oil Hinder Democracy?" *World Politics* 53 (3): 325-61.
- Herb, Michael. 2005. "No Representation without Taxation? Rents, Development, and Democracy." *Comparative Politics* 37 (3): 297-316.

Optional readings:

- Haber, Stephen, and Victor Menaldo. 2011. "Do Natural Resources Fuel Authoritarianism? A Reappraisal of the Resource Curse." *American Political Science Review* 105 (1): 1-26.
- Jensen, Nathan, and Leonard Wantchekon. 2004. "Resource Wealth and Political Regimes in Africa." *Comparative Political Studies* 37 (7): 816-41.

**8) 27 May 2019 - Democracy and Islam**

Required readings:

- Fish, M. Steven. 2002. Islam and Authoritarianism. *World Politics* 55 (1): 4-37.
- Sarkissian, Ani. 2012. Religious Regulation and the Muslim Democracy Gap. *Politics and Religion* 5 (3): 501-527.

Presentation:

- Ross, Michael L. 2008. Oil, Islam, and Women. *American Political Science Review* 102 (1): 107-123.

Optional readings:

- Philpott, Daniel. 2007. Explaining the Political Ambivalence of Religion. *American Political Science Review* 101 (3): 505-525
- Fox, Jonathan. 2006. World Separation of Religion and State Into the 21st Century. *Comparative Political Studies* 39 (5): 537-569.

- Fish, Steven M., and Robin S. Brooks. 2004. Does Diversity Hurt Democracy? *Journal of Democracy* 15 (1): 154-166.

## 9) 3 June 2019 - Parliamentary vs. presidential political systems

### Required readings:

- Linz, Juan J. 1990. The Perils of Presidentialism. *Journal of Democracy* 1 (1): 51-69.
- Cheibub, José Antonio. 2002. Minority Governments, Deadlock Situations, and the Survival of Presidential Democracies. *Comparative Political Studies* 35 (3): 284-312.

### Presentation:

- Stepan, Alfred, and Cindy Skach. 1993. Constitutional Frameworks and Democratic Consolidation: Parliamentarianism versus Presidentialism. *World Politics* 46 (1): 1-22.

## II Performance

## 10) 17 June 2019 - Democratic peace

### Required readings:

- Kant, Immanuel. 2003. "Perpetual Peace." In *The Democratic Sourcebook*, Robert A. Dahl, Ian Shapiro und José Antonio Cheibub (Hrsg.). Cambridge: MIT Press. 490-91.
- Gartzke, Erik. 2007. The Capitalist Peace. *American Journal of Political Science* 51 (1): 166-191.
- Bueno de Mesquita, Bruce, James D. Morrow, Randolph M. Siverson, and Alastair Smith. 1999. An Institutional Explanation of the Democratic Peace. *American Political Science Review* 93 (4): 791-807.

### Optional readings:

- Oneal, John R., and Bruce Russett. 1999. The Kantian Peace. The Pacific Benefits of Democracy, Interdependence, and International Organizations, 1885-1992. *World Politics* 52 (1): 1-37.
- Ray, James Lee. 1998. Does Democracy Cause Peace? *Annual Review of Political Science* 1: 27-46.

## 11) 24 June 2019 - The economic performance of democracy

### Required readings:

- Gerring, John, Philip Bond, William T. Barndt, and Carola Moreno. 2005. Democracy and Economic Growth. A Historical Perspective. *World Politics* 57 (3): 323-364.
- Baum, Matthew A., and David A. Lake. 2003. The Political Economy of Growth: Democracy and Human Capital. *American Journal of Political Science* 47 (2): 333-347.

Optional readings:

- Desai, Raj M., Anders Olofsgård, and Tarik M. Yousef. 2003. Democracy, Inequality, and Inflation. *American Political Science Review* 97 (3): 391-406.

**12) 1 July 2018 - Democracy and development**

Required readings:

- Ross, Michael L. 2006. Is Democracy Good for the Poor? *American Journal of Political Science* 50 (4): 860-874.
- Gerring, John, Strom C. Thacker, and Rodrigo Alfaro. 2012. Democracy and Human Development. *Journal of Politics* 74 (1): 1-17.

Optional readings:

- Stasavage, David. 2005. Democracy and Education Spending in Africa. *American Journal of Political Science* 49 (2): 343-358.
- Olson, Mancur. 1993. Dictatorship, Democracy, and Development. *American Political Science Review* 87 (3): 567-576.

**13) 8 July 2018 - Final discussion & presentation of research projects**

Task for this session:

*Hand in a one-page outline of your planned research design by Thursday, 4 July 2019, 10:00 AM.*