

Summer Term 2018

Dr. Jan Sauermann

(14335.0109) Stability, quality, and performance of democracy

PO 2015: Basismodul Seminar Politikwissenschaft I (9 Leistungspunkte)

PO 2007/08: Hauptseminar Vergleichende Politikwissenschaft (6 Leistungspunkte)

Time: Mondays 10:00 – 11:30

Room: Gottfried-Keller-Str. 6, Hörsaal des Forschungsinstituts

First session: 9 April 2018

Course description

The course provides a broad overview of the literature on democracy in comparative politics. We will concentrate on two major topics; 1) factors influencing the quality and stability of democracy and 2) the effects of democracy, i.e. its performance. We will discuss the following questions:

Quality and stability:

- What is democracy? How can we define democracy?
- How can we measure the quality of democracy?
- Which factors promote transitions to democracy?
- Why do democracies fail?
- Which factors stabilize democracy?

Performance:

- What are the effects of democracy in comparison to authoritarian systems of government?

Prerequisites

Students should have a good knowledge of political science concepts, basic qualitative and quantitative methods, and an interest in current research. Since nearly all reading assignments are in English, this will be the general classroom language as well. Participants therefore must know enough English to be able to participate actively. Perfection is not required – you just need to understand and make yourself understood.

Relevance for study programmes

The course is open to master students of the WiSo-Faculty with an interest in political science.

Registration

The course will be taught on a weekly basis (first session April 9). Students should register via KLIPS.

Reading assignments

All required texts for the sessions of the seminar can be found on [LIAS](#).

Most texts can only be accessed from within the UKLAN network of the University of Cologne. If you do not know how to obtain access to UKLAN, please consult the RRZK's websites: <http://rrzk.uni-koeln.de/netzzugang.html?&L=1>

Working requirements and assessment method

All participants are expected to:

- attend the seminar on a regular basis
- complete assigned readings according to schedule
- actively contribute to class discussions
- give a 10 to 15 minutes presentation in the seminar
- write a research paper of 15-20 pages in length
 - PO 2015: about 6.000 words
 - PO 2007/08: about 5000 words

Submission of the research paper

Please hand in two versions of your research paper:

- **Electronic version**
(pdf- or Word file) via email to jan.sauermann@uni-koeln.de
Deadline: 17 September 2018, 10:00am
- **Paper version**
You can hand in the paper version personally at the secretary of the Cologne Center for Comparative Politics (*IBW Gebäude, Herbert-Lewin-Str. 2, 1st floor, Room 1.09*) or send it by mail to:
Jan Sauermann, Universität zu Köln, Cologne Center for Comparative Politics,
Postfach 411020, 50870 Köln

The paper version must contain the following signed statement:

<http://www.cccp.uni-koeln.de/sites/cccp/Lehre/EidesstattlicheErklaerung.pdf>

Teilnahme- und Prüfungsbedingungen

- Regelmäßige Teilnahme an den Seminarsitzungen
- Gründliche Vorbereitung der angegebenen Grundlagenliteratur
- Aktive Beteiligung an den Diskussionen im Seminar
- Referat (10-15 Minuten)
- Hausarbeit von 15-20 Seiten
 - PO 2015: ca. 6.000 Wörter
 - PO 2007/08: ca. 5000 Wörter

Abgabe der Hausarbeit

Bitte reichen Sie zwei Versionen Ihrer Hausarbeit ein:

- **Elektronische Version**
(pdf- oder Word-Dokument) per Email an jan.sauermann@uni-koeln.de
Deadline: 17. September 2018, 10:00 Uhr
- **Papierversion**
Sie können die ausgedruckte Version Ihrer Hausarbeit entweder persönlich während der Öffnungszeiten im Sekretariat des Cologne Center for Comparative Politics (IBW-Gebäude, Herbert-Lewin-Str.2, 1. Stock, Raum 1.09) abgeben oder per Post an folgende Adresse senden:
Jan Sauermann, Universität zu Köln, Cologne Center for Comparative Politics,
Postfach 411020, 50870 Köln

Die Papierversion muss folgende unterschriebene Erklärung beinhalten:

<http://www.cccp.uni-koeln.de/sites/cccp/Lehre/EidesstattlicheErklaerung.pdf>

Schedule and readings

Session 1 9 April 2018 Introduction

I Quality and stability

Session 2 16 April 2018 What is democracy?

Required readings:

- Dahl, Robert A. 1971. *Polyarchy. Participation and Opposition*. New Haven and London: Yale University Press. 1-32.
- Schumpeter, Joseph. 2003. "Capitalism, Socialism, and Democracy." In *The Democracy Sourcebook*, eds. Robert A. Dahl, Ian Shapiro and José Antonio Cheibub. Cambridge: MIT Press. 5-11.

Optional readings:

- Schmitter, Philippe C. und Terry Lynn Karl. 1991. "What Democracy Is ... And Is Not." *Journal of Democracy* 2 (3): 75-88.

Session 3 23 April 2018 Conceptualizing and measuring democracy

Required readings:

- Collier, David und Steven Levitsky. 1997. "Democracy with Adjectives: Conceptual Innovation in Comparative Research." *World Politics* 49 (3): 430-51.
- Munck, Gerardo L. und Jay Verkuilen. 2002. "Conceptualizing and Measuring Democracy: Evaluating Alternative Indices." *Comparative Political Studies* 35 (5): 5-34.

Presentation:

- Högström, John. 2013. "Does the Choice of Democracy Measure Matter? Comparisons between the Two Leading Democracy Indices, Freedom House and Polity IV." *Government and Opposition* 48 (2): 201-21.

Optional readings:

- Coppedge, Michael , John Gerring, David Altman, Michael Bernhard, Steven Fish, Allen Hicken, Matthew Kroenig, Staffan I. Lindberg, Kelly McMann, Pamela Paxton, Holli A. Semetko, Svend-Erik Skaaning, Jeffrey Staton und Jan Teorell. 2011. "Conceptualizing and Measuring Democracy: A New Approach." *Perspectives on Politics* 9 (2): 247-67.

Session 4 30 April 2018 The boundary problem

Required readings:

- Dahl, Robert A. 1989. *Democracy and Its Critics*. New Haven and London: Yale University Press: 193-209.
- Hainmueller, Jens, and Dominik Hangartner. 2013. "Who Gets a Swiss Passport? A Natural Experiment in Immigrant Discrimination." *American Political Science Review* 107 (1): 159-87.

Optional readings:

- Linz, Juan J. und Alfred Stepan. 1996. Problems of Democratic Transition and Consolidation: Southern Europe, South America, and Post-Communist Europe. Baltimore and London: The Johns Hopkins University Press: 16-37.

Session 5 7 May 2018 Waves of democratization

Required readings:

- Huntington, Samuel P. 1991. *The Third Wave. Democratization in the Late Twentieth Century*. Norman: University of Oklahoma Press. 1-40.
- Carothers, Thomas. 2002. "The End of the Transition Paradigm." *Journal of Democracy* 13 (1): 5-21.

Optional readings:

- Doorenspleet, Renske. 2000. "Reassessing the Three Waves of Democratization." *World Politics* 52 (3): 384-406.
- Bunce, Valerie. 2000. "Comparative Democratization. Big and Bounded Generalizations." *Comparative Political Studies* 33 (6/7): 703-34.
- Kapstein, Ethan B., and Nathan Converse. 2008. Why Democracies Fail. *Journal of Democracy* 19 (4): 57-68.

Session 6 14 May 2018 Causes of democratization

Required readings:

- Lipset, Seymour Martin. 1959. Some Social Requisites of Democracy: Economic Development and Political Legitimacy. *American Political Science Review* 53 (1): 69-105.
- Rustow, Dankwart A. 1970. "Transitions to Democracy: Toward a Dynamic Model." *Comparative Politics* 2 (3): 337-63.

Session 7 28 May 2018 The impact of economic development on democratization

Required readings:

- Przeworski, Adam, and Fernando Limongi. 1997. Modernization: Theories and Facts. *World Politics* 49 (2): 155-183.
- Boix, Carles, and Susan C. Stokes. 2003. Endogenous Democratization. *World Politics* 55 (4): 517-549.

Optional readings:

- Treisman, Daniel. 2015. "Income, Democracy, and Leader Turnover." *American Journal of Political Science*. 59 (4): 927-42.

Session 8 4 June 2018 The resource curse

Required readings:

- Ross, Michael L. 2001. "Does Oil Hinder Democracy?" *World Politics* 53 (3): 325-61.
- Herb, Michael. 2005. "No Representation without Taxation? Rents, Development, and Democracy." *Comparative Politics* 37 (3): 297-316.

Optional readings:

- Haber, Stephen, and Victor Menaldo. 2011. "Do Natural Resources Fuel Authoritarianism? A Reappraisal of the Resource Curse." *American Political Science Review* 105 (1): 1-26.
- Jensen, Nathan, and Leonard Wantchekon. 2004. "Resource Wealth and Political Regimes in Africa." *Comparative Political Studies* 37 (7): 816-41.

Session 9 11 June 2018 Democracy and Islam

Required readings:

- Fish, M. Steven. 2002. Islam and Authoritarianism. *World Politics* 55 (1): 4-37.
- Sarkissian, Ani. 2012. Religious Regulation and the Muslim Democracy Gap. *Politics and Religion* 5 (3): 501–527.

Presentation:

- Ross, Michael L. 2008. Oil, Islam, and Women. *American Political Science Review* 102 (1): 107-123.

Optional readings:

- Philpott, Daniel. 2007. Explaining the Political Ambivalence of Religion. *American Political Science Review* 101 (3): 505-525
- Fox, Jonathan. 2006. World Separation of Religion and State Into the 21st Century. *Comparative Political Studies* 39 (5): 537-569.
- Fish, Steven M., and Robin S. Brooks. 2004. Does Diversity Hurt Democracy? *Journal of Democracy* 15 (1): 154-166.

Session 10 18 June 2018 Parliamentary vs. presidential political systems

Required readings:

- Linz, Juan J. 1990. The Perils of Presidentialism. *Journal of Democracy* 1 (1): 51-69.
- Cheibub, José Antonio. 2002. Minority Governments, Deadlock Situations, and the Survival of Presidential Democracies. *Comparative Political Studies* 35 (3): 284-312.

Presentation:

- Stepan, Alfred, and Cindy Skach. 1993. Constitutional Frameworks and Democratic Consolidation: Parliamentarianism versus Presidentialism. *World Politics* 46 (1): 1-22.

II Performance

Session 11 25 June 2018 Democratic peace

Required readings:

- Kant, Immanuel. 2003. "Perpetual Peace." In *The Democratic Sourcebook*, Robert A. Dahl, Ian Shapiro und José Antonio Cheibub (Hrsg.). Cambridge: MIT Press. 490-91.
- Gartzke, Erik. 2007. The Capitalist Peace. *American Journal of Political Science* 51 (1): 166-191.

- Bueno de Mesquita, Bruce, James D. Morrow, Randolph M. Siverson, and Alastair Smith. 1999. An Institutional Explanation of the Democratic Peace. *American Political Science Review* 93 (4): 791-807.

Optional readings:

- Oneal, John R., and Bruce Russett. 1999. The Kantian Peace. The Pacific Benefits of Democracy, Interdependence, and International Organizations, 1885-1992. *World Politics* 52 (1): 1-37.
- Ray, James Lee. 1998. Does Democracy Cause Peace? *Annual Review of Political Science* 1: 27-46.

Session 12 2 July 2018

The economic performance of democracy

Required readings:

- Gerring, John, Philip Bond, William T. Barndt, and Carola Moreno. 2005. Democracy and Economic Growth. A Historical Perspective. *World Politics* 57 (3): 323-364.
- Baum, Matthew A., and David A. Lake. 2003. The Political Economy of Growth: Democracy and Human Capital. *American Journal of Political Science* 47 (2): 333-347.

Optional readings:

- Desai, Raj M., Anders Olofsgård, and Tarik M. Yousef. 2003. Democracy, Inequality, and Inflation. *American Political Science Review* 97 (3): 391-406.

Session 13 9 July 2018

Democracy and development

Required readings:

- Ross, Michael L. 2006. Is Democracy Good for the Poor? *American Journal of Political Science* 50 (4): 860-874.
- Gerring, John, Strom C. Thacker, and Rodrigo Alfaro. 2012. Democracy and Human Development. *Journal of Politics* 74 (1): 1-17.

Optional readings:

- Stasavage, David. 2005. Democracy and Education Spending in Africa. *American Journal of Political Science* 49 (2): 343-358.
- Olson, Mancur. 1993. Dictatorship, Democracy, and Development. *American Political Science Review* 87 (3): 567-576.

Session 14 16 July 2018

Final discussion & presentation of research projects

Task for this session:

Hand in a one-page outline of your planned research design by Thursday, 12 July 2018, 10:00 AM.