

Winter Term 2018/2019

University of Cologne, Faculty of Management, Economics and Social Sciences

Cologne Center for Comparative Politics (CCCP)

Chair of International Comparative Political Economy and Economic Sociology

Doctoral and Master Course: Research Designs and Case Studies in Comparative Political Economy

ECTS: 9

Instructor: Prof. Dr. Christine Trampusch

Email: christine.trampusch@uni-koeln.de

Phone: 0221 470 8807

Office Hours: By appointment! Please write an email to: christine.trampusch@uni-koeln.de

Time:

- 1) **Wednesday, October 10, 4.00-5.30pm** (Room: Lecture Room 2, Gottfried-Keller-Str. 6): **Obligatory Introduction** (no course participation possible without attending this session!)
- 2) **Friday, November 9, 9.00am-4.00pm** (Room: 3, WiSo Modular Building (110)): **Compact Day 1**
- 3) **Friday, November 30, 9.00am-4.00pm** (Room: S85, Philosophikum (103)): **Compact Day 2**
- 4) **Friday, December 14, 9.00am-6.30pm** (Room: 3, WiSo Modular Building (110)): **Compact Day 3**

Course Description: In Comparative Political Economy (CPE) case studies are used for theory building and theory tests (in the sense of plausibility probes). On the one hand, there are single case study methods such as analytic narrative and process tracing. On the other hand, case oriented researchers apply comparative case study methods such as parallel demonstration of theory, the method of contrasting contexts and the methods of agreement and difference. The course introduces into these methods theoretically, methodologically and practically by prominent cases studies in the field of CPE. In addition, it introduces into good research designs for case studies and we discuss conceptions of causality and strategies of case selection. Students also have the possibility to present their own research designs. As the seminar's overarching goal is to enable participants to write their term papers, regular attendance is of utmost importance for the quality of term papers. It is expected that in their term papers students apply a special case study method to address a particular research question or critically review the research design and method of their BA-thesis, planned MA-thesis or Ph.D. project or critically discuss the literature on a special case study method. All texts are available on the ILIAS-website of the course.

Requirements and evidence of academic achievement:

Participants are expected to hand in a two-page outline of their planned term papers before December 1, 2018 and present this outline in class on December 14, 2018.

Final grading is based on individual in-class presentations on papers discussed in class (see syllabus "presentation") and the term paper, in which the participant answers a research question of his or her choice, critically discusses the literature on a case study method, or critically reviews the research design and method of his or her BA-thesis, planned MA-thesis or Ph.D. project (handed in on March 15, 2019 (late assignments will be penalized, unless prior arrangements are made!)).

Please register for this course via KLIPS 2. After the first class (October 10, 2018) no further enrollments will be accepted.

Students are expected to: attend sessions regularly, actively contribute to class discussions, complete assigned readings according to schedule: "compulsory reading" – all students read; "presentation" – only reading by the respective presenter; write a term paper of not more than 10,000 words (individual research papers, team research papers with individual contributions of about 8 pages), own research on literature/data/material is required. All papers will be checked for plagiarism. Please read for further information about plagiarism: www.cccp.uni-koeln.de. We use the software "turnitin" to check for plagiarism: <http://www.wiso-it.uni-koeln.de/turnitin.html>. Term papers have to be written in English language. Since nearly all reading assignments are in English, this will be the general classroom language as well. Participants therefore must know enough English to be able to participate actively. Perfection is not required – you just need to understand and make yourself understood.

Date	Topic
10.10	<p>Introduction</p> <p>Compulsory reading:</p> <ul style="list-style-type: none"> Goertz, Gary (2017): <i>Multimethod Research, Causal Mechanisms, and Case Studies</i>. Princeton: PUP, 1-5. Flyvbjerg, B. (2006): Five Misunderstandings about Case-Study Research. <i>Qualitative Inquiry</i>, 12(2), 219-45.
Compact Day 1, Friday, November, 9, 9.00am-4.00pm (Room: tba)	
09.00 -	<p>Research Designs & Case Studies I</p> <p>Compulsory reading:</p>
10.30	<ul style="list-style-type: none"> George, A.L., Bennett, A. (2005): <i>Case Studies and Theory Development in the Social Sciences</i>. Cambridge: MIT Press. 73-124 (Chapters 4-6).
10.30 -	<p>Research Design & Case Studies II</p> <p>Compulsory reading:</p>
12.00	<ul style="list-style-type: none"> Mahoney, J., Goertz, G. (2006): A Tale of Two Cultures: Contrasting Quantitative and Qualitative Research. <i>Political Analysis</i>, 14(3), 227-49. <p>Presentation 1, N.N.:</p> <ul style="list-style-type: none"> King, G., Keohane, R.O., Verba, S. (1994): <i>Designing Social Inquiry</i>. Princeton: Princeton University Press. 3-34 (Chapter 1). <p>Presentation 2, N.N.:</p> <ul style="list-style-type: none"> Ragin, C. (1997): Turning the Tables: How Case-oriented Research Challenges Variable-oriented Research. <i>Comparative Social Research</i>, 16, 27-42.
1.00 -	<p>Causality and Causal Mechanisms</p> <p>Compulsory reading:</p>
02.30	<ul style="list-style-type: none"> Goertz, G., Mahoney, J. (2012): <i>A Tale of Two Cultures. Qualitative and Quantitative Research in the Social Sciences</i>. Princeton/Oxford: Princeton University Press. 41-83 (Chapters 3-6). Beach, D., Pedersen, R.B. (2013): <i>Process-Tracing Methods. Foundations and Guidelines</i>. Ann Arbor, MI: University of Michigan Press. 23-44 (Chapter 3). <p>Presentation 3, N.N.:</p> <ul style="list-style-type: none"> Falletti, T.G., Lynch, J. (2009): Context and Causal Mechanisms in Political Analysis. <i>Comparative Political Studies</i>, 42(9), 1143-66. <p>Presentation 4, N.N.:</p> <ul style="list-style-type: none"> Wellstead, A.M. (2018): Mechanisms of Environmental Policy Change in a Federal System: The Case of Open Federalism and the 2006–15 Harper Government. <i>Regional & Federal Studies</i>, 28(2), 177-197.
02.30 -	<p>Case Selection</p> <p>Compulsory reading:</p>
04.00	<ul style="list-style-type: none"> Levy, J.S. (2008): Case Studies: Types, Designs, and Logics of Inference. <i>Conflict Management and Peace Science</i>, 25, 1-18. Beach, D., Pedersen, R. B. (2016): Selecting Appropriate Cases When Tracing Causal Mechanisms. <i>Sociological Methods & Research</i>. Online First. Available from: http://journals.sagepub.com/doi/abs/10.1177/0049124115622510 (accessed March 14, 2018). <p>Presentation 5, N.N.:</p> <ul style="list-style-type: none"> Mahoney, J., Goertz, G. (2004): The Possibility Principle: Choosing Negative Cases in Comparative Research. <i>American Political Science Review</i>, 98(4), 653-69. <p>Presentation 6, N.N.:</p> <ul style="list-style-type: none"> Geddes, B. (1990): How the Cases You Choose Affect the Answers You Get: Selection Bias in Comparative Politics. <i>Comparative Analysis</i>, 2(1), 131-50.

Compact Day 2, Friday, November, 30, 9.00am-4.00pm (Room: tba)	
09.00 - 10.30	<p><u>Comparative Method</u> Compulsory reading:</p> <ul style="list-style-type: none"> • Liebersohn, S. (1991): Small N's and Big Conclusions: An Examination of the Reasoning in Comparative Studies Based on a Small Number of Cases. <i>Social Forces</i>, 70(2), 307-20. • Savolainen, J. (1994): The Rationality of Drawing Big Conclusions Based on Small Samples: In Defense of Mill's Methods. <i>Social Forces</i>, 72(4), 1217-24. <p>Presentation 7, N.N.:</p> <ul style="list-style-type: none"> • Brookes, M. (2018). Explaining Employer Responses to Transnational Labor Activism: Indonesia and Cambodia Compared. <i>Comparative Political Studies</i>, 51(6), 699-729. <p>Presentation 8, N.N.:</p> <ul style="list-style-type: none"> • Trampusch, C. (2010): Employers, the State, and the Politics of Institutional Change. Vocational Education and Training in Austria, Germany and Switzerland. <i>European Journal of Political Research</i>, 49(4), 545-73.
10.30 - 12.00	<p><u>Parallel Demonstration of Theory & Contrast of Contexts</u> Compulsory reading:</p> <ul style="list-style-type: none"> • Skocpol, T., Somers, M. (1980): The Uses of Comparative History in Macrosocial Inquiry. <i>Comparative Studies in Society and History</i>, 22(2), 174-97. <p>Presentation 9, N.N.:</p> <ul style="list-style-type: none"> • Trampusch, C. (2010): Co-evolution of Skills and Welfare in Coordinated Market Economies? A Comparative Historical Analysis of Denmark, the Netherlands, and Switzerland. <i>European Journal of Industrial Relations</i>, 16(3), 197-220. <p>Presentation 10, N.N.:</p> <ul style="list-style-type: none"> • Trampusch, C., Spies, D. (2014): Agricultural Interests and the Origins of Capitalism: A Parallel Comparative History of Germany, Denmark, New Zealand, and the United States. <i>New Political Economy</i>, 19(6), 918-942.
1.00 - 02.30	<p><u>Analytic Narrative</u> Compulsory reading:</p> <ul style="list-style-type: none"> • Bates, R.H., Greif, A., Levi, M., Rosenthal, J.L., Weingast, B.R. (eds) (1998): <i>Analytic Narratives</i>. Princeton: Princeton University Press. 3-22, 231-38. • Bates, R.H., Greif, A., Levi, M., Rosenthal, J.L., Weingast, B.R. (2000): Review: The Analytical Narrative Project. <i>American Political Science Review</i>, 94(3), 696-702. • Levi, M. (2004): Analytic Narrative Approach to Puzzles and Problems. In: Shapiro, I., Smith, R.M., Masoud, T.E. (eds): <i>Problems and Methods in the Study of Politics</i>. Cambridge: Cambridge University Press. 201-22. <p>Presentation 11, N.N.:</p> <ul style="list-style-type: none"> • Bates, R.H. (1998): The International Coffee Organization. In: Bates, R.H., Greif, A., Levi, M., Rosenthal, J.L., Weingast, B.R. (eds.): <i>Analytic Narratives</i>. Princeton: Princeton University Press. 194-230. <p>Presentation 12, NN.:</p> <ul style="list-style-type: none"> • Tranditis, Aris (2016): Clientelism and Economic Policy: Hybrid Characteristics of Collective Action in Greece. <i>Journal of European Public Policy</i> 23(10): 1460-1480.
02.30 - 04.00	<p><u>Process Tracing</u> Compulsory reading:</p> <ul style="list-style-type: none"> • Trampusch C., Palier B. (2016): Between X and Y: How Process Tracing Contributes to Opening the Black Box of Causality. <i>New Political Economy</i>, 21(5), 437-454. • Beach, D., Pedersen, R.B. (2013): <i>Process-Tracing Methods. Foundations and Guidelines</i>. Ann Arbor, MI: University of Michigan Press. 9-44, 120-43 (Chapters 2,3,7). <p>Presentation 13, N.N.:</p> <ul style="list-style-type: none"> • Löblová, O. (2018). When Epistemic Communities Fail: Exploring the Mechanism of Policy Influence. <i>Policy Studies Journal</i>, 46(1), 160-189. <p>Presentation 14, N.N.:</p> <ul style="list-style-type: none"> • Trampusch, C. (2018): Liberal Financial Markets in the Interest of <i>Staatskredite</i> – A Process Tracing Study of the Link between Sovereign Debt Policy and the 1908 Bourse Law Reform in the German Empire. <i>Jahrbuch für Wirtschaftsgeschichte</i>, 59(1), 105-134.

Compact Day 3, Friday, December, 14, 9.00am-5.30pm (Room: tba)	
09.00 - 10.30	<p>Systematic Process Analysis (SPA)</p> <p>Compulsory reading:</p> <ul style="list-style-type: none"> Hall, P. (2008): Systematic Process Analysis: When and How to use it. In: <i>European Political Science</i>, 7(3), 304-17. <p>Presentation 15, N.N.:</p> <ul style="list-style-type: none"> Trampusch, C. (2014): Why Preferences and Institutions Change: A Systematic Process Analysis of Credit Rating in Germany. <i>European Journal of Political Research</i>, 53(2), 328-344. <p>Presentation 16, N.N.:</p> <ul style="list-style-type: none"> Wright, C.F. (2015): Why do States Adopt Liberal Immigration Policies? The Policymaking Dynamics of skilled Visa Reform in Australia. <i>Journal of Ethnic and Migration Studies</i>, 41(2), 306-328.
1.00 - 02.30	<u>Presentations of Students' Term Paper Outlines</u>
02.30 - 04.00	<u>Presentations of Students' Term Paper Outlines</u>
04.00 - 05.30	<u>Presentations of Students' Term Paper Outlines</u>

General references on methodological contributions which are recommended for further reading:

- Beach, Derek/Rasmus B. Pedersen (2013): *Process Tracing Methods – Foundations and Guidelines*. Ann Arbor: University of Michigan Press.
- Bennett, Andrew/Checkel, Jeffrey (2015): *Process Tracing. From Metaphor to Analytic Tool*. Cambridge University Press.
- Brady, Henry A. (2008): Causation and Explanation in Social Science. In: Box-Steffensmeier et al. (eds.): *The Oxford Handbook of Political Methodology*. Oxford: OUP, 217-270.
- Brady, Henry A./David Collier (2004): *Rethinking Social Inquiry. Diverse Tools, Shared Standards*. Lanham: Rowman & Littlefield.
- Ebbinghaus, B. (2005): When Less is More. Selection Problems in Large-N and Small-N Cross-National Comparisons. *International Sociology*, 20(2), 133-52.
- Eckstein, H. [1975] (1992): Case Study and Theory in Political Science. In: *ibid.: Regarding Politics. Essays on Political Theory, Stability, and Change*. Berkeley/Los Angeles: University of California Press, 117-176.
- George, Alexander L./Andrew Bennett (2005): *Case Studies and Theory Development in the Social Sciences*. Cambridge: MIT Press.
- Gerring, John (2007): *Case Study Research: Principles and Practices*. New York: Cambridge University Press.
- Goertz, Gary (2006): *Social Science Concepts. A User's Guide*. Princeton: Princeton University Press.
- Goertz, Gary (2017): *Multimethod Research, Causal Mechanisms, and Case Studies: An Integrated Approach*. Princeton University Press.
- Haverland, Markus/Joachim Blatter (2012): *Designing Case Studies: Explanatory Approaches in Small-N Research*. Basingstoke: Palgrave Macmillan.
- Hedström, Peter/Petri Ylikoski (2010): Causal Mechanisms in the Social Sciences. In: *Annual Review of Sociology* 36(1). 49-67.
- Jacobs A. M. (2015) Process Tracing and the Effects of Ideas. In: Bennett A and Checkel J T (eds) *Process Tracing. From Metaphor to Analytic Tool*. Cambridge: CUP, pp. 41-73
- King, Gary/Robert Keohane/Sidney Verba (1994): *Designing Social Inquiry. Scientific Inference in Qualitative Research*. Princeton: Princeton University Press.
- Mahoney, James (2001): Beyond Correlational Analysis: Recent Innovations in Theory and Method. *Sociological Forum* 16 (3): 575-593.
- Mahoney, James/Dietrich Rueschemeyer (2003): *Comparative Historical Analysis in the Social Sciences*. Cambridge: Cambridge University Press.
- Ragin, Charles (1987): *The Comparative Method: Moving beyond Qualitative and Quantitative Strategies*. Berkeley: University of California Press.
- Rohlfing, Ingo (2012). *Case studies and Causal Inference: An Integrative Framework*. Palgrave Macmillan.
- Seawright, Jason (2016): *Multi-method Social Science: Combining Qualitative and Quantitative Tools*. Cambridge University Press.